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PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** 10/604.699 TRANSMITTAL Filing Date 08/11/2003 **FORM** First Named Inventor CHARNLEY Jr., James Allen Art Unit (to be used for all correspondence after initial filing) 3624 **Examiner Name** Attorney Docket Number W012 P00898-US1 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Response to Notice to File Corrected Request for Refund **Express Abandonment Request** Application Papers; Corrected drawaings; Corrected Abstract CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm David R. Josephs, Esquire BARLOW, JOSEPHS & HOLMES, LTD. Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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## TRANSMITTAL OF FORMAL DRAWINGS

Docket No. P00898-US1

In Re Application Of:

CHARNLEY JR., James Allen

Serial No.	Filing Date	Confirmation No.	Examiner	Art Unit
10/604699	08/11/2003	1698		3624

PROCESS FOR THE SELECTION AND EVALUATION OF INVESTMENT PORTFOLIO ASSET Invention:

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Transmitted herewith are:

7 sheets of formal drawing(s) for this application.

 $\boxtimes$ Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c).

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